



09-01-06

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PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

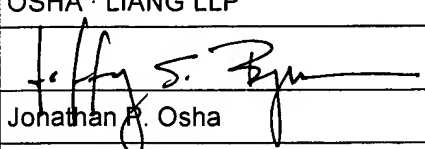
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/528,530-Conf. #8299 ✓
	Filing Date	March 18, 2005
	First Named Inventor	Shinsuke Inoue
	Art Unit	1712
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	17195/002001

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt, with enclosures (12 pages) Return Receipt postcard
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	OSHA · LIANG LLP		
Signature	 45,925		
Printed name	Jonathan P. Osha		
Date	August 30, 2006	Reg. No.	33,986



Docket No.: 17195/002001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Shinsuke Inoue et al.

Application No.: 10/528,530

Confirmation No.: 8299

Filed: March 18, 2005

Art Unit: 1712

For: NOVEL THERMOPLASTIC POLYIMIDE  
AND IMIDE OLIGOMER

Examiner: Not Yet Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicants, a copy of which is attached hereto, contain several errors. There is a typographical error in the title, the third applicant is missing, and the priority information is missing. Applicants respectfully request the word "inide" in the title be corrected to --**imide**--. Applicants also request that the third applicant, --**Noriyuki Kawanishi**--, be added, and that the priority information, --**JAPAN 2003-37344 2/14/2003**-- and **JAPAN 2002-271445 9/18/2002**-- be added as shown in the enclosed copies of:

1) the declaration which shows the third applicant, the priority information, and the correct spelling of the title; and

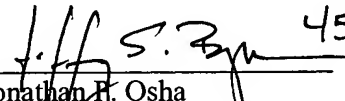
2) the application data sheet which shows the third applicant, the priority information, and the correct spelling of the title.

Applicants additionally request that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

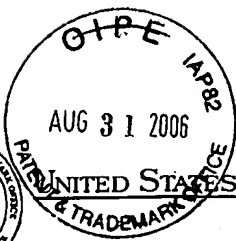
Applicants believe no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 17195/002001 from which the undersigned is authorized to draw.

Dated: August 31, 2006

Respectfully submitted,

By  45,925  
Jonathan F. Osha  
Registration No.: 33,986  
OSHA · LIANG LLP  
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AUG 03 2006



OSHA • LIANG LLP

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/528,530	03/18/2005	1712	1260	17195/002001		18	3

CONFIRMATION NO. 8299

22511  
OSHA LIANG L.L.P.  
1221 MCKINNEY STREET  
SUITE 2800  
HOUSTON, TX 77010

## FILING RECEIPT



\*OC000000019764477\*

Date Mailed: 07/27/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shinsuke Inoue, Hiroshima, JAPAN;  
Satoru Nanba, Hiroshima, JAPAN;  
Hiroyasu Inagaki, Tokyo, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number 22511.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/11873 09/18/2003

~~Foreign Applications~~

If Required, Foreign Filing License Granted: 07/26/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/528,530**

Projected Publication Date: 11/02/2006

Non-Publication Request: No

Early Publication Request: No

Title

<b>Docketed Action:</b>		
<input checked="" type="checkbox"/> New	<input type="checkbox"/> Previously	<input type="checkbox"/> Non Required
Docket Number: <u>17195/002001</u>		
Attorney Initials: <u>JPO/KN</u>		
Docketing Initials: <u>PKS</u>		

Novel thermoplastic polyimide and ~~imide~~ oligomer

**Preliminary Class**

528

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

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## Declaration and Power of Attorney for Patent Application

### 特許出願宣言書及び委任状

### Japanese Language Declaration

22511

PATENT TRADEMARK OFFICE

#### 日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address, and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NOVEL THERMOPLASTIC POLYIMIDE AND IMIDE OLIGOMER

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☐

の日に出版され、

この出版の米国出版番号またはPCT国際出版番号は、

であり、且つ

の日に修正された出版（該当する場合）

私は、上記の補正欄によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

☒

was filed on 18/09/2003

as United States Application Number or

PCT International Application Number

PCT/JP03/11873

and was amended on

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

# Japanese Language Declaration

(日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは本国外の少なくとも一國を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)(d)項又は第365条(a)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365 (a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent, or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

## Prior Foreign Application(s)

Priority Not Claimed

優先権を主張なし

外国での先行出願

2002-271443  
(Number)  
(番号)

Japan  
(Country)  
(国名)

18/09/2002  
(Day/Month/Year Filed)  
(出願日/月/年)

☐

2003-37344  
(Number)  
(番号)

Japan  
(Country)  
(国名)

14/02/2003  
(Day/Month/Year Filed)  
(出願日/月/年)

☐

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編119条(a)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に規定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入子された情報で、互換的かつ第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status Patented, Pending, Abandoned)  
(項別：特許許可、係属中、放棄)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status Patented, Pending, Abandoned)  
(項別：特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Japanese Language Declaration**  
(日本語宣言書)

委任状: 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び/または弁理士を任命する。(氏名及び登録番号を記載すること)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number).

All associated with Customer No. 22511

All associated with Customer No. 22511

書類送付先

Send Correspondence to:

All attorney/agents associated with Customer No. 22511


All attorney/agents associated with Customer No. 22511

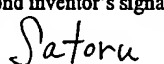
直通電話連絡先: (氏名及び電話番号)

Direct Telephone Calls to:  
(name and telephone number)

Jonathan P. Osha (713-228-8600)

Jonathan P. Osha (713-228-8600)

唯一または第一発明者氏名	Full name of sole or first inventor Shinsuke INOUE
発明者の署名 日付	Inventor's signature Date  21/02/2005
住所	Residence Hiroshima, Japan
国籍	Citizenship Japanese
郵便の宛先	Post Office Address c/o Minooki Factory, MANAC INC., 92, Minooki-cho, Fukuyama-shi, Hiroshima 721-0956 Japan

第二共同発明者がある場合、その氏名	Full name of second joint inventor, if any Satoru NANBA
第二共同発明者の署名 日付	Second inventor's signature Date  21/02/2005
住所	Residence Hiroshima, Japan
国籍	Citizenship Japanese
郵便の宛先	Post Office Address c/o Minooki Factory, MANAC INC., 92, Minooki-cho, Fukuyama-shi, Hiroshima 721-0956 Japan

(第三以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

		Full name of third joint inventor, if any <b>Noriyuki KAWANISHI</b>	
発明者の署名	日付	Inventor's signature	Date
		<i>Noriyuki Kawanishi</i>	21/02/2005
住所	Residence Tokyo, Japan		
国籍	Citizenship Japanese		
郵便の宛先	Post Office Address c/o Tokyo Office, MANAC INC., OP Nihonbashi Bldg., 1-7, Nihonbashi 1-chome, Chuo-ku, Tokyo 103-0027 Japan		

		Full name of fourth joint inventor, if any <b>Hiroyasu INAGAKI</b>	
発明者の署名	日付	Inventor's signature	Date
		<i>Hiroyasu Inagaki</i>	21/02/2005
住所	Residence Tokyo, Japan		
国籍	Citizenship Japanese		
郵便の宛先	Post Office Address c/o Tokyo Office, MANAC INC., OP Nihonbashi Bldg., 1-7, Nihonbashi 1-chome, Chuo-ku, Tokyo 103-0027 Japan		

		Full name of fifth joint inventor, if any	
発明者の署名	日付	Inventor's signature	Date
住所	Residence		
国籍	Citizenship		
郵便の宛先	Post Office Address		

		Full name of sixth joint inventor, if any	
発明者の署名	日付	Inventor's signature	Date
住所	Residence		
国籍	Citizenship		
郵便の宛先	Post Office Address		



## **Application Data Sheet**

### **Application Information**

Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	N/A
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	NOVEL THERMOPLASTIC POLYIMIDE AND <del>IMIDE</del> OLIGOMER
Attorney Docket Number::	17195/002001
Request for Early Publication?::	No
Request for Non-Publication?::	No
Small Entity?::	No
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

### **Applicant Information**

Applicant Authority Type::	Inventor
Primary Citizenship Country::	Japan
Status::	Full Capacity
Given Name::	Shinsuke
Family Name::	Inoue
City of Residence::	Hiroshima
Country of Residence::	Japan
Street of mailing address::	c/o Minooki Factory, MANAC INC. 92, Minooki-cho Fukuyama-shi
City of mailing address::	Hiroshima
Country of mailing address::	Japan
Postal or Zip Code of mailing address::	721-0956

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Japan  
Status:: Full Capacity  
Given Name:: Satoru  
Family Name:: Nanba  
City of Residence:: Hiroshima  
Country of Residence:: Japan  
Street of mailing address:: c/o Minooki Factory, MANAC INC.  
92, Minooki-cho  
Fukuyama-shi  
City of mailing address:: Hiroshima  
Country of mailing address:: Japan  
Postal or Zip Code of mailing address:: 721-0956

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Japan  
Status:: Full Capacity  
Given Name:: Noriyuki  
Family Name:: Kawanishi  
City of Residence:: Tokyo  
Country of Residence:: Japan  
Street of mailing address:: c/o Tokyo Office, MANAC INC.  
OP Nihonbashi Bldg.,  
1-7, Nihonbashi 1-chome  
City of mailing address:: Tokyo  
Country of mailing address:: Japan  
Postal or Zip Code of mailing address:: 103-0027

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Japan  
Status:: Full Capacity  
Given Name:: Hiroyasu

Family Name:: Inagaki  
 City of Residence:: Tokyo  
 Country of Residence:: Japan  
 Street of mailing address:: c/o Tokyo Office, MANAC INC.  
 OP Nihonbashi Bldg.,  
 1-7, Nihonbashi 1-chome  
 City of mailing address:: Tokyo  
 Country of mailing address:: Japan  
 Postal or Zip Code of mailing address:: 103-0027

#### Correspondence Information

Correspondence Customer Number:: 22511

#### Representative Information

Representative Customer Number:: 22511

#### Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/JP03/11873	09/18/03

#### Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::
Japan	2003-37344	02/14/03	Yes
Japan	2002-271445	09/18/02	Yes

#### Assignee Information

Assignee name:: MANAC INC.  
 Street of mailing address:: 10-1, Nishimachi 2-chome  
 Fukuyama-shi  
 City of mailing address:: Hiroshima  
 Country of mailing address:: Japan  
 Postal or Zip Code of mailing address:: 720-0067



Application No. (if known): 10/528,530

Attorney Docket No.: 17195/002001

## Certificate of Express Mailing Under 37 CFR 1.10

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on August 31, 2006  
Date

  
Signature

Salena L. Hearne

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Telephone Number

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